

	<b>QUALITY MANAGEMENT SYSTEM</b>	<b>TC 01-06#6</b>		
	<b>“ACBA-CREDIT AGRICOLE BANK” CJSC TARIFFS (REGISTRY OPERATIONS, CUSTODY OF CORPORATE SECURITIES)</b>	<i>Approval date</i> 31/07/2024	<i>Edition</i> 9	<i>Page</i> 1/8

*Valid from 01 Ogot 2024*

*APPROVE*  
“ACBA-CREDIT AGRICOLE BANK” CJSC  
*Chief Executive Officer*

*H. Andreasyan* \_\_\_\_\_

31 July 2024

1. This document shall establish the fees and commissions (hereinafter referred to as “the Tariffs”) charged by “ACBA-CREDIT AGRICOLE BANK” closed joint stock company (hereinafter referred to as “the Bank”) for registration of securities with the mediation of the Bank and services, provided by the Bank in the united system.

2. These Tariffs are set on the basis of existing service principles of international banking practice and the rules on tariffs of “Central Depository of Armenia” OJSC.

3. The Tariffs are subject to unilateral change by the Bank upon prior notice by posting on the Bank website and/or other public sources, in a place, visible for customers, in the Bank premises, as well as by informing the customers by the procedure, stipulated by the relevant agreements.

4. The signing of the customer of the template document, developed for a specific service or agreement by the Bank, shall verify the acceptance by the customer of these Tariffs, as well as separate terms and rules, established by the Bank for the given service.

5. Commissions for the rendered services, stated in the Tariffs, may be charged by the Bank unilaterally (without acceptance) from the customer’s bank account, unless otherwise stipulated by the contract, signed with the customer.

6. The Bank shall have the right to suspend the provision of the services provided for by this document to its issuers and account holders, having signed Registry Maintenance and Securities Custodian Contracts through mediation of the Bank, if the latter have more than three months’ commission payable to the Bank.

7. In case of resumption of suspended services, issuers and account holders shall pay a resume fee, the procedure of which shall be determined by the Tariff Rules of the Central Depository of Armenia.

8. The Bank may make changes and additions to the current tariffs, of which the Bank shall notify the customers by making the text of the changes and additions available on the Bank’s site and on the website. The amendments and supplements take effect from the moment stated by the Bank, but not earlier than they are notified to the customers.

9. The Bank shall not be liable for the delays, faults and damages occurred as a result of force-majeure (natural disasters, acts of state bodies, strike, political disorders, blockades, termination of operation of telecommunication means, other emergency circumstances).

10. The Bank provides services to the customers on working days<sup>1</sup> from 9.30 am till 17.30 pm (Yerevan local time), for the exception of the following cases:

- acceptance of payments for provided services by branches and payment and settlement services – on working days from 9.30 am till 16.30 pm (Yerevan local time),
- remote service, including those, provided by automated machines for financial operations (AMFO), on working days from 9.00 am till 17.30 pm,

as well as unless otherwise specified by the terms of specific services, agreements or other internal legal acts of the Bank or on the official website of the Bank: [www.acba.am](http://www.acba.am).

11. Based on the long-lasting cooperation between the Bank and its customers, the volumes of customer transactions and other circumstances, the Bank’s competent authority may apply preferential tariffs and provisions to customers who may meet all those standards.

<sup>1</sup> Working days are Monday-Friday (except for the days, which are or will be considered as non-working days by the RA legislation), as well as the days the days, which are or will be considered as working days by the RA legislation.



The Tariffs include VAT (except for articles, released from VAT)

<b>1. REGISTRY MAINTENANCE</b>		
<b>1.1. Registry of initial data of issuer registry in the System</b>		
1.1.1. Registration of issuer data in the System	Free of charge	
1.1.2. Registration of data on issuer's securities in the System	Free of charge	
1.1.3. Opening of issuer's securities account	Free of charge	
<b>1.2. Services, conditioned by placement of securities (except for placement via regulated market)</b>		
1.2.1. Placement of shares (stock)	Total nominal value of placed shares (stock)	Up to 3 000 000 000 AMD - 0,1%
		3 000 000 000 AMD and more - 3 000 000 AMD
1.2.2. Registration of shares (stock), placed through a public offer	Total nominal value of placed shares (stock)	Up to 1 000 000 000 AMD - 0,1%
		1 000 000 000 AMD and more - 1 000 000 AMD
<b>1.3. Placement of bonds (except for the cases of public placement of bonds)<sup>1</sup></b>		
1.3.1. Placement of bonds	Total nominal value of placed bonds	Up to 30 000 000 000 AMD - 0,01%
		30 000 000 000 AMD and more - 3 000 000 AMD
1.3.2. Registration of bonds (stock), placed through a public offer	Total nominal value of placed bonds	Up to 4 000 000 000 AMD - 0,01%
		More than 4 000 000 000 AMD - 400 000 AMD
<b>1.4. Maintenance of registration of shares (stock) and custody</b>		
1.4.1. Maintenance of registration of shares (stock) and custody	From 1 to 49 inclusive number of placed securities accounts (stockholders) /monthly	8000 AMD
	From 50 to 99 inclusive number of placed securities accounts (stockholders) /monthly	12000 AMD
	From 100 to 199 inclusive number of placed securities accounts (stockholders) /monthly	17000 AMD
	From 200 to 499 inclusive number of placed securities accounts (stockholders) /monthly	26000 AMD
	From 500 to 999 inclusive number of placed securities accounts (stockholders) /monthly	32000 AMD
	1000 and more inclusive number of placed securities accounts (stockholders) /monthly	62000 AMD
<b>1.5. Maintenance of registration of bonds and custody</b>		
1.5.1. Maintenance of registration of bonds and custody	Total nominal value of placed bonds / monthly	Up to 1 550 000 000 AMD - 0.004%
		1 550 000 000 AMD and more - 62000 AMD
<b>1.6. Renewal of the agreement on registry maintenance due to the change of account operator</b>		

<sup>1</sup> For the bonds, the nominal value of which is expressed in foreign currency, the tariff is calculated in AMD in the exchange rate, specified by the Central Bank of Armenia as of the day of registration of the placement.



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1.6.1. Renewal of the agreement on registry maintenance due to the change of account operator and transfer of securities portfolio	For each class of securities / one transfer	6000 AMD
<b>1.7. Securities, conditioned by the corporate activities of the issuer</b>		
1.7.1. Registration of corporate activity <sup>2</sup>	Total nominal value of securities (stock), being the subject of corporate activity	Up to 3 000 000 000 AMD - 0,1% Minimum – 10 000 AMD
		3 000 000 000 AMD and more - 3 000 000 AMD
<b>1.8. Services, related to the provision of information upon the issuer’s request</b>		
1.8.1. Provision of the list of registered owners (holders) of securities (stock) in the Armenian language	List	Hard copy – up to 50 securities account (stockholder) - 2000 AMD, 50 and more securities account (stockholder) - 4000 AMD, electronically – free of charge
1.8.2. Provision of the list of registered owners (holders) of securities (stock) in the English language	List	Hard copy – up to 50 securities account (stockholder) - 4000 AMD, 50 and more securities account (stockholder) - 8000 AMD, electronically – free of charge
<b>1.9. Provision of statement (without supporting documents) on the flow of transactions (transfer of securities), executed with securities (stock)</b>		
1.9.1. Hard copy	1 Statement	5000 AMD
<b>1.10. Provision of statement (with supporting documents) on the flow of transactions (transfer of securities), executed with securities (stock)</b>		
1.10.1. Provision of statement (electronically)	1 Statement	14 000 AMD
1.10.2. Provision of statement in hard copy		20 000 AMD
<b>1.11. Registration of changes of issuer’s data in the System</b>		
1.11.1. Registration of change of data in the System <sup>3</sup>	Each change	Free of charge
<b>1.12. Additional services, provided by the Central Depository to the issuer by the mediation of the Bank</b>		
1.12.1. Calculation and payment of dividends	Total amount of the fund, envisaged for payment of dividends / for each process	0.2%
1.12.2. Placement of funds, as a result of payment or repayment of bonds’ coupons	Amount of paid/placed funds/ for each process	0.02%
1.12.3. Notification to the issuer on the flow of securities (stock) (on orders on transfer of securities (stock) and seizure on the basis of collateral)	Notification/ annually	300 000 AMD
1.12.4. execution of control over the restrictions on acquisition of securities, specified by the issuer’s law and its charter, and other restrictions	Execution of control / annually	1 000 000 AMD
<b>2. CUSTODY OF CORPORATE SECURITIES</b>		
<b>2.1. Services, related to maintenance of securities accounts of registered owners</b>		
2.1.1. Opening of securities account	1 securities account	Free of charge
2.1.2. Registration of change of information, included in the securities account	1 securities account	Free of charge

<sup>2</sup> The corporate services, specified in this clause, include increase of statutory capital, with the increase of nominal value of shares and decrease of statutory capital with the decrease of nominal value of shares, conversion of securities with other securities as a result of reorganization (except for restructuring), consolidation of securities, dissemination of securities, conversion of securities of one class (type) with securities of another class (type) upon decision of the issuer, acquisition, repurchase and repayment of securities (except for bonds).

<sup>3</sup> The change of issuer data includes change of location or operation address of the issuer, type of joint stock companies, director, company name or information on securities (change of paper form of the securities to non-paper and vice versa).



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2.1.3. Custody of securities account <sup>4</sup> (except for securities, permitted for trade in the RA regulated market, as well as securities, being the state or community property or belonging to the CBA by the ownership right)	Nominal value of the securities balance, available on the accountholder's account as of the last day of each month / monthly	0.001% minimum 100 AMD
2.1.4. Closing of securities account	1 securities account	Free of charge
<b>2.2. Services, conditioned by the transfer of securities out of regulated market</b>		
2.2.1. Transfer of shares (stock) with any ground, including with “Free of Payment” (FOP) principle	Total nominal value of securities (stock), being the subject of transaction (paid by the transferring party)	Up to 2 000 000 AMD - 2000 AMD
		From 2 000 000 AMD to 3 000 000 000 AMD - 0,1%
		3 000 000 000 AMD and more - 3 000 000 AMD
2.2.2. Transfer of bonds with any ground, including with “Free of Payment” (FOP) principle	Total nominal value of bonds, being the subject of transaction (paid by the transferring party)	Up to 2 000 000 AMD -200 AMD
		From 2 000 000 AMD to 4 000 000 000 AMD - 0,01%
		4 000 000 000 AMD and more - 400000 AMD
2.2.3. Transfer of securities from one account to another as a result of repo and reverse repo transactions	1 order (paid by each party)	9000 AMD
2.2.4. Transfer of portfolio from the account of accountholder, opened with one account operator, to the account of accountholder, opened with another account operator – transfer of total balance, available on the securities account	1 order (paid by the transferring party)	6000 AMD
2.2.5. Transfer of securities with any ground, with “Delivery versus Payment” (DVP) principle	Total nominal value of securities, being the subject of transaction (paid by each party)	Up to 1 000 000 AMD/ 2 000 AMD
		From 1 000 000 to 2 000 000 000 AMD - 0,2%
		2 000 000 000 AMD and more - 4 000 000 AMD
2.2.6. Transfer of bonds with any ground, with “Delivery versus Payment” (DVP) principle	Total nominal value of bonds, being the subject of transaction (paid by each party)	Up to 10 000 000 AMD - 2 000 AMD

<sup>4</sup> The calculation of the tariff for this service starts from the moment of reopening or opening of an accountholder's account by the Bank, and is calculated until the day of closing of the given account inclusive. If as of the last day of the month, no securities are available on the accountholder's account, no collection fee shall be collected.



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		From 10 000 000 to 2 000 000 000 AMD - 0,02%
		2 000 000 000 AMD and more - 400000 AMD
2.2.7. Extrajudicial sale of securities collateral	Total nominal value of sold securities (paid by the pledgee)	Up to 140 000 000 AMD - 0,3 %
		140 000 000 AMD and more - 420000 AMD
<b>2.3. Services, related to the registration of pledge, freezing, commercial blocking of securities, as well as limitation of the voting right</b>		
2.3.1. Pledge of securities	Total nominal value of securities (stock), being the subject of collateral (paid by the pledger)	Up to 3 000 000 000 AMD - 0,1% minimum 7000 AMD
		3 000 000 000 AMD and more - 3 000 000 AMD
2.3.2. Freezing of securities or securities account	Total nominal value of frozen securities (stock) (paid by the accountholder)	Up to 3 000 000 000 AMD - 0,1%
		3 000 000 000 AMD and more - 3 000 000 AMD
2.3.3. Temporary limitation of voting right at the general meeting of joint stock company for blocked shares	Total nominal value of securities with limited voting right	0,5%
2.3.4. Termination of pledge, freezing, commercial blocking and other limitations		Free of charge
2.3.5. Commercial blocking of securities as a result of transactions, executed in the regulated market		Free of charge
<b>2.4. Provision of information to the accountholder</b>		
2.4.1. Provision of statement from securities account, including by each class (statement from registry) in the Armenian language	Statement	Hard copy - 1000 AMD, electronically - free of charge
2.4.2. Provision of statement from securities account, including by each class (statement from registry) in the English language	Statement	Hard copy - 2000 AMD
2.4.3. Provision of statement on transactions (including securities flow), performed with securities account in the Armenian language	Statement	Hard copy - 1000 AMD, electronically - free of charge
2.4.4. Provision of statement on transactions (including securities flow), performed with securities account in the English language	Statement	Hard copy - 2000 AMD
2.4.5. Provision of statement with supporting documents from the registry or private account on other information (except for inquiries on transactions) upon the request of the accountholder	Statement	electronically - 6000 AMD, Hard copy - 12000 AMD
<b>2.5. Services, related to the opening and maintenance of nominee's accounts</b>		
2.5.1. Opening of nominee's account (person, not being an account operator)	1 securities account	400 000 AMD
2.5.2. Registration of change of information, included in the nominee's account (person, not being an account operator)	1 securities account	Free of charge
2.5.3. Maintenance of nominee's account (person, not being an account operator)	Nominal value of securities balance, available on the nominee's account at the end of each month / monthly	0.001%
2.5.4. Opening of nominee's account (person, being an account operator)	1 securities account	Free of charge
2.5.5. Registration of change of information, included in the nominee's account (person, being an account operator)	1 securities account	Free of charge
2.5.6. Maintenance of nominee's account	Nominal value of securities balance, available on the nominee's account at the end of each month / monthly	0.001%
<b>3. SERVICE ON SECURITIES CUSTODY, REGISTERED THROUGH A FOREIGN CUSTODIAN, AND SERVICE OF TRANSACTIONS WITH THOSE SECURITIES</b>		



<b>3.1. Securities custody service<sup>5</sup></b>		
3.1.1. Custody of shares (including fund stock)	Total volume of securities, available on the owner's (nominee's) account / monthly (by the daily estimate of securities balance)	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge  0.0025%
3.1.2. Custody of debt securities (except for eurobonds, issued on behalf of the RA)		
3.1.3. Custody of eurobonds, issued on behalf of the RA		
<b>3.2. Corporate actions</b>		
3.2.1. Depositing of income from securities to the account	Total volume of securities, available on the owner's (nominee's) account / monthly (by the daily estimate of securities balance)	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge
3.2.2. Repayment of securities	Per each transaction	
3.2.3. Payment of dividends		
3.2.4. Other mandatory actions		
3.2.5. Non-mandatory actions		
3.2.6. Writer sale and purchase	Volume of securities, being the subject of transaction	
3.2.7. Tax deduction, development of tax declarations, actions on tax return, tax adjustment	Per each case	
<b>3.3. Ensuring distance participation in the meetings</b>		
3.3.1. Receipt of notifications	Per each meeting	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge
3.3.2. Receipt of agenda		
3.3.3. Order of entrance card		
3.3.4. Execution of voting order		
<b>3.4 Services on final settlements with securities (The orders, subject to final settlement, but not executed yet, on the 45th day upon the registration of the order, are subject to automatic cancellation, for which the amount in the size of the execution of the final settlement shall be charged)</b>		
3.4.1. Execution of order on final settlement with debt securities, shares and stock shares	Per each order	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge
3.4.2. Development of wrong formatted or late order	Per each order	
3.4.3. Expenses on physical delivery or receipt of securities (including insurance)	Per each case	
3.4.4. Execution of group order (group matching) on final settlement	Per each transaction	
<b>3.5. Services on final settlements with funds (The orders, subject to final settlement, but not executed yet, on the 45th day upon the registration of the order, are subject to automatic cancellation, for which the amount in the size of the execution of the final settlement shall be charged)</b>		
3.5.1. Execution of the order on depositing and withdrawal of funds (including preadvice orders)	Per each order	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge
3.5.2. Transactions with funds without an order	Per each order	
3.5.3. Development of wrong formatted or late order	Per each order	
<b>3.6. Provision of reports</b>		
3.6.1. Notification on corporate actions	Per each notification	Tariff, presented by a foreign custodian to the Central Depository (including taxes) +50% extra charge
3.6.2. Statement on approval of corporate actions	Per each statement	
3.6.3. Statement on monthly receivable interest amounts	Per each statement	
3.6.4. Report on securities available on account	Per each report	
3.6.5. Report on funds balance	Per each report	
3.6.6. Other report on funds	Per each report	
3.6.7. Statement on transactions	Per each statement	
3.6.8. Statement on anticipated transactions	Per each statement	

<sup>5</sup> As a result of repo / reverse repo transaction with the RA Central Bank, the custody tariff for custody of securities, available on the securities account of the RA Central Bank, shall be calculated against the party, transferring the securities.

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3.6.9. Report on approval of final settlement	Per each report	
3.6.10. Report on lending with securities	Per each report	
3.6.11. Summary report on corporate actions	Per each report	
3.6.12. Provision of breakdown of securities, available on account, by markets	Per each report	
3.6.13. Sending of reports by mail or email	Per each report	
3.6.14. Statement on approval of orders	Per each statement	
3.6.15. Status report on final settlement order	Per each report	
3.6.16. Statement on approval of withdrawal or deposition from/to account	Per each report	